

**From:** [McKean, Amy L.](#) on behalf of [Ballin, Stacy D.](#)  
**To:** [Breach, AG](#)  
**Subject:** Potential Security Breach  
**Date:** Monday, March 26, 2018 2:28:55 PM  
**Attachments:** [image001.jpg](#)

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To the Office of the Attorney General:

I am writing on behalf of Squire Patton Boggs (US) LLP in my capacity as General Counsel to notify you of an incident potentially involving personal information of three Connecticut residents who may have been impacted.

Our law firm learned that in the course of mailing out annual Form 1099s, the hard copy of one individual's Form 1099 was mistakenly included in an envelope of another recipient's Form 1099. The Form 1099s contain the Tax ID numbers of corporate payees, or the social security number of individual payees, along with name and mailing address and other information found in a Form 1099.

The incident occurred at the end of January 2018, when our firm's accounting staff sent Form 1099s relating to all vendors or other third parties we had paid during 2017 for whom Form 1099s are required. We learned of the incident on February 7, 2018, when a vendor who received two Form 1099s in their package alerted us to the issue involving a New Jersey resident.

We are not aware of any other instances where a Form 1099 recipient received another individual's Form 1099 including those belonging to the three Connecticut residents. To ensure that all intended recipients have received Form 1099s, we have resent all individual Form 1099s. In addition, out of an abundance of caution, we sent letters to all potentially affected individuals (i.e., all individuals who are Form 1099 recipients) to inform them about the incident and offered credit monitoring and identity theft protection for a year. We gave notice to the potentially affected Connecticut citizens on March 12, 2018.

For the sake of clarity, no electronic Form 1099s (or any other electronic records) were affected in this incident.



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